IN THE UNITED STATES PATE	ENT AND TRADEMARK OFFICE
In re the application of	)
Schweitzer et al.	) Group Art Unit: Unassigned
Application No. Unassigned	) Examiner: Unassigned
Filed: Herewith	) Attorney Docket No. XACTP015B
For: SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR POLICY-BASED BILLING IN A NETWORK ARCHITECTURE	) ) Date: August 21, 2001 )
(as amended)	CERTIFICATE OF MAILING
	I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington

# PRELIMINARY AMENDMENT

Commissioner for Patents Washington, DC 20231

Dear Sir:

Prior to substantive Examination of the above-identified patent application, please enter the following amendments.

## IN THE TITLE:

Please delete the TITLE OF THE INVENTION, and insert a new TITLE OF THE INVENTION as set forth hereinbelow:

-- SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR POLICY-BASED BILLING IN A NETWORK ARCHITECTURE --.

## IN THE SUMMARY:

Please delete the SUMMARY OF THE INVENTION, and insert a new SUMMARY OF THE INVENTION as set forth hereinbelow: (See Appendix A)

A system, method and computer program product are provided for policy-based billing for a network session. Initially, a plurality of packets is received by a plurality of analyzers. Thereafter, the packets are aggregated. Next, the plurality of packets is analyzed to identify a plurality of flows and an session associated with the flows. At least one application associated with the session is also identified. The session is then reconstructed utilizing the identified application. A user associated with the session is then identified along with a policy. The user is then billed for the session in accordance with the policy.

### IN THE ABSTRACT:

Please delete the ABSTRACT OF THE INVENTION, and insert a new ABSTRACT OF THE INVENTION as set forth hereinbelow: (See Appendix B)

A system, method and computer program product are provided for policy-based billing for a network session. Initially, a plurality of packets is received by a plurality of analyzers. Thereafter, the packets are aggregated. Next, the plurality of packets is analyzed to identify a plurality of flows and an session associated with the flows. At least one application associated with the session is also identified. The session is then reconstructed utilizing the identified application. A user associated with the session is then identified along with a policy. The user is then billed for the session in accordance with the policy.

#### IN THE CLAIMS:

Please cancel claims 1-19, and add claims 20-40, as follows:

- 20. A method for policy-based billing for a network session, comprising:
- (a) receiving a plurality of packets at a plurality of analyzers;
- (b) aggregating the plurality of packets;
- (c) analyzing the plurality of packets to identify a plurality of flows;
- (d) identifying a session associated with the flows;
- (e) identifying at least one application associated with the session;
- (f) reconstructing the session utilizing the identified application;
- (g) identifying a user associated with the session;
- (h) determining a policy; and
- (i) billing the user for the session in accordance with the policy.
- 21. The method as recited in claim 20, and further comprising filtering the packets for removing packets unrelated to the session.
- 22. The method as recited in claim 20, and further comprising identifying application events associated with the session based on the policy.
- 23. The method as recited in claim 22, and further comprising assigning a significance to the application events based on the policy.
- 24. The method as recited in claim 22, wherein the user is billed for the session utilizing the application events in accordance with the policy.
- 25. The method as recited in claim 22, and further comprising determining billing information for the session using the application events in accordance with the policy.
- 26. The method as recited in claim 25, and further comprising outputting a report including the billing information in accordance with the policy.
- 27. The method as recited in claim 20, and further comprising restricting tasks of the user in accordance with the policy.

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- 28. The method as recited in claim 27, wherein an amount of bandwidth is restricted in accordance with the policy.
- 29. The method as recited in claim 20, wherein the policy includes a series of packet capture language expressions and output selectors.
- 30. A computer program product for policy-based billing for a network session, comprising:
- (a) computer code for receiving a plurality of packets at a plurality of analyzers;
- (b) computer code for aggregating the plurality of packets;
- (c) computer code for analyzing the plurality of packets to identify a plurality of flows;
- (d) computer code for identifying a session associated with the flows;
- (e) computer code for identifying at least one application associated with the session;
- (f) computer code for reconstructing the session utilizing the identified application;
- (g) computer code for identifying a user associated with the session;
- (h) computer code for determining a policy; and
- (i) computer code for billing the user for the session in accordance with the policy.
- 31. The computer program product as recited in claim 30, and further comprising computer code for filtering the packets for removing packets unrelated to the session.
- 32. The computer program product as recited in claim 30, and further comprising computer code for identifying application events associated with the session based on the policy.
- 33. The computer program product as recited in claim 32, and further comprising computer code for assigning a significance to the application events based on the policy.

- 34. The computer program product as recited in claim 32, wherein the user is billed for the session utilizing the application events in accordance with the policy.
- 35. The computer program product as recited in claim 32, and further comprising computer code for determining billing information for the session using the application events in accordance with the policy.
- 36. The computer program product as recited in claim 35, and further comprising computer code for outputting a report including the billing information in accordance with the policy.
- 37. The computer program product as recited in claim 30, and further comprising computer code for restricting tasks of the user in accordance with the policy.
- 38. The computer program product as recited in claim 37, wherein an amount of bandwidth is restricted in accordance with the policy.
- 39. The computer program product as recited in claim 30, wherein the policy includes a series of packet capture language expressions and output selectors.
- 40. A method for policy-based billing for a network session, comprising:
- (a) receiving a plurality of packets at a plurality of analyzers;
- (b) aggregating the plurality of packets;
- (c) analyzing the plurality of packets to identify at least a first flow;
- (d) identifying a session associated with the first flow;
- (e) identifying additional flows in the plurality of packets associated with the session;
- (f) filtering the packets for removing packets unrelated to the session;
- (g) identifying at least one application associated with the session;
- (h) reconstructing the session utilizing the identified application;
- (i) identifying a user associated with the session;
- (j) identifying a policy;

- (k) gathering application events associated with the session based on the policy;
- (l) assigning a significance to the application events based on the policy;
- (m) determining billing information for the session using the application events in accordance with the policy;
- (n) outputting a report including the billing information in accordance with the policy;
- (o) restricting tasks of the user in accordance with the policy; and
- (p) executing actions in response to the application events in accordance with the policy.

### **REMARKS**

The claims of the present application have been amended to include subject matter clearly disclosed in the originally filed specification. No new matter has been added.

In the event a telephone conversation would expedite the prosecution of this application, the Examiner may reach the undersigned at (408) 505-5100. The Commissioner is hereby authorized to charge any fees that may be due or credit any overpayment to Deposit Account No. 50-1351 (Order No. XACTP015B).

Respectfully submitted,

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